



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Marilyn R. Khorsandi
Marilyn R. Khorsandi

Applicant : Abraham, et al.
 Application No. : 09/866,544
 Filed : 5/25/2001
 Title : Apparatus, Systems and Methods for Transacting and Managing Like-Kind Exchanges
 Grp./Div. : 2164
 Examiner : Not yet assigned
 Docket No. : PELK0002/MRK

**LETTER IN RESPONSE TO NOTICE TO
FILE MISSING PARTS OF APPLICATION**

Box Missing Parts
 Assistant Commissioner for Patents
 Washington, D.C. 20231

140 S. Lake Ave., Suite 312
 Pasadena, CA 91101
 August 15, 2001

Commissioner:

In response to the Notice to File Missing Parts of Application dated July 31, 2001, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. Check for **\$1668.00**, including the filing fee (\$1603.00) and surcharge (\$65.00) for a small entity.
3. Executed Declaration and Power of Attorney.
4. Request for Corrected Filing Receipt w/copy of Filing Receipt noting error.
5. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 501574. Please show our docket number with any charge or credit to our Deposit Account. A copy of this letter is enclosed.

Respectfully submitted,

KHORSANDI PATENT LAW GROUP, ALC

By Marilyn R. Khorsandi
 Marilyn R. Khorsandi
 Reg. No. 45,744
 626/796-2856

MRK/crb
 Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/866,544	05/25/2001	Brent C. Abraham	PELK0002/MRK

KHORSANDI PATENT LAW GROUP, ALC
140 S. Lake, Suite 312
Pasadena, CA 91101-4710

CONFIRMATION NO. 4820
FORMALITIES LETTER



OC000000006365518

Date Mailed: 07/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1248.
 - \$1008 for 112 total claims over 20.
 - \$240 for 6 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1668.**

*A copy of this notice **MUST** be returned with the reply.*

EP

355.00
1008.00
240.00
65.00

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/22/2001 RNSL1EN1 00000003 098665544

01 FC:201
02 FC:203
03 FC:202
04 FC:205



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Marilyn R. Khorsandi

In re application of : Brent C. Abraham
Title : Apparatus, Systems and Methods for Transacting and Managing
Like-Kind Exchanges

Application No. : 09/866,544
Filed : May 25, 2001

Examiner : Not yet assigned
Group No. : 2164

Assistant Commissioner for Patents
Office of Initial Patent Examination's
Customer Service Center
Washington, D.C. 20231

August 15, 2001

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following, which is incorrectly entered.

Error In:

Domestic Priority data as claimed by applicant

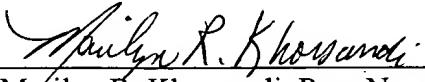
Correct Data:

THIS APPLN CLAIMS BENEFIT OF 60/206,942 05/25/2000
AND CLAIMS BENEFIT OF 60/217,234 07/10/2000
AND CLAIMS BENEFIT OF 60/220,892 07/26/2000
AND CLAIMS BENEFIT OF 60/237,935 10/03/2000

3. The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

August 15, 2001
Date


Marilyn R. Khorsandi, Reg. No. 45744
Attorney for Applicant

Khorsandi Patent Law Group, ALC
140 South Lake Avenue, Suite 312
Pasadena, CA 91101
U.S.A. (626) 796-2856



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RECEIPT Page 1 of 3
COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/866,544	05/25/2001	2164	0.00	PELK0002/MRK	63	132	9



KHOOR SANDI PATENT LAW GROUP, ALC
140 S. Lake, Suite 312
Pasadena, CA 91101-4710

CONFIRMATION NO. 4820

FILING RECEIPT



OC000000006365517

Date Mailed: 07/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Brent C. Abraham, Evergreen, CO;
Todd Fisher, Boulder, CO;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/206,942 05/25/2000
*WHICH CLAIMS BENEFIT OF 60/217,234 07/10/2000
*WHICH CLAIMS BENEFIT OF 60/220,892 07/26/2000
*WHICH CLAIMS BENEFIT OF 60/237,935 10/03/2000

Foreign Applications

If Required, Foreign Filing License Granted 07/30/2001

Change "WHICH"
to
— AND —

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Apparatus, systems and methods for transacting and managing like-kind exchanges

Preliminary Class

705

Data entry by : POINGUE, EDWARD

Team : OIPE

Date: 07/31/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231